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Atty. Docket No. GEN10 P-338A

#5 PDS  
10-9-03  
Rebecca

## CERTIFICATE OF MAILING

I hereby certify that this paper, together with all enclosures identified herein, are being deposited with the United States Postal Service as first class mail, addressed to the Assistant Commissioner for Patents, Washington D.C. 20231, on the date indicated below.

4/16/03

Date

Rebecca A. Westers

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John K. Roberts et al.  
Appln. No. : 09/935,443  
Filing Date : August 23, 2001  
Confirmation No. : 9576  
For : METHOD OF MAKING A SEMICONDUCTOR RADIATION  
EMITTER PACKAGE

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97(b)

Pursuant to 37 CFR §§1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed more than three months after the filing date of the above-referenced application but, to the undersigned's knowledge, before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are submitted herewith along with Form PTO-1449. Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not

Applicant : John K. Roberts et al.  
Appln. No. : 09/935,443  
Page : 2

constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 16-2463.

Respectfully submitted,

JOHN K. ROBERTS ET AL.

By: Price, Heneveld, Cooper,  
DeWitt & Litton

4-16-2003  
Date

  
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TSC/rsw

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. GEN10 P-338A	SERIAL NO. 09/935,443
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  O I P E APR 21 2003 (use several sheets if necessary)		APPLICANT(S) JOHN K. ROBERTS ET AL.	
		FILING DATE 08/23/01	GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
		5 8 0 8 3 2 5	09/15/98	Webb			
		5 6 6 0 4 6 1	08/26/97	Ignatius et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
							Y N

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	